



DEPARTMENT OF THE NAVY
OFFICE OF THE CHIEF OF NAVAL OPERATIONS
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WASHINGTON, DC 20350-2000

OPNAVINST 4441.13A
N41
15 Oct 2002

OPNAV INSTRUCTION 4441.13A

Subj: APPROVAL AND FUNDING POLICY FOR COORDINATED SHORE-BASED
ALLOWANCE LIST

Ref: (a) OPNAVINST 4000.57F 6 Jun 96 (NOTAL)
(b) OPNAVINST 4441.12C

1. Purpose. To issue policy guidance on the Coordinated Shore-Based Allowance List (COSBAL) and the spare parts and funding requirements associated with this allowance product.

2. Cancellation. OPNAVINST 4441.13.

3. Applicability. This instruction applies to all Navy shore activities and Marine Corps Air Stations with the exception of TRIDENT activities governed by reference (a), Navy Working Capital Fund-Depot Maintenance activities, and activities funded by Military Construction Supervision, Inspection and Overhead.

4. Background

a. A COSBAL is a consolidated listing of the consumable and repair parts required to support the organization-level maintenance of the equipment authorized for a shore activity. These spare parts support new equipment being installed or the backfit of improvements to currently installed equipment. The COSBAL is published by the Naval Inventory Control Point (NAVICP), Mechanicsburg, PA, and serves the same purpose for shore activities as the Coordinated Shipboard Allowance List (COSAL) does for active fleet ships.

b. In the past, some shore activities developed their own allowance lists based on subjective criteria and requisitioned the associated spare parts with user operating funds. In many cases, the supply support provided by the unofficial COSBALs resulted in the unplanned and unprogrammed draw-down of supply system inventory that had been procured for other purposes, such as the re-supply of deployed fleet units. This instruction is issued to prevent this type of situation.

5. Policy

a. COSBALs will specify spare parts support for ordnance, electronics, and hull, mechanical, and electrical equipment for which Allowance Parts Lists (APLs) and Allowance Equipage Lists (AELs) have been established. This indicates that the equipment has been provisioned for Navy support.

(1) All systems and equipment maintained in the NAVICP Weapons Systems File (WSF) that are identified to a specific shore activity will be supported by that activity's COSBAL.

(2) Office machines, personal computers, other commercial off-the-shelf equipment, and commercially supported equipment will not be included in a COSBAL.

b. Funding

(1) Initial establishment of an activity's COSBAL requires funding for the associated spare parts requirements. For it to be available, the funding must first be programmed in the Program Objective Memorandum (POM) process by the Chief of Naval Operations (CNO) Resource Sponsor, budgeted by the major claimant, and funded by Congressional appropriation. These programmed funds will come from the NWCF and/or the spares outfitting accounts.

(a) If the equipment to be supported by a new COSBAL has yet to reach its Material Support Date (MSD), the associated spare parts will be funded by the outfitting accounts.

(b) If the equipment's MSD has passed, the NWCF will be utilized to buy-in the spare parts listed in the new COSBAL. This material will be held by the wholesale supply system and subsequently bought-out by the outfitting accounts as it is needed.

(c) In either case, the major claimant will provide to the activity requesting the COSBAL the outfitting funds needed to requisition the associated spare parts.

(2) After establishing an activity's COSBAL, spare parts support for new equipment installations resulting from major configuration changes or new provisioning for existing equipment will be funded by the NWCF and/or outfitting accounts, depending on the equipment's MSD.

(3) Demand-based allowance changes to existing equipment and/or replenishment of COSBAL spare parts will be funded by the NWCF or user operating accounts, whichever is used to fund the activity's normal usage of material.

c. Establishment of a COSBAL inventory is prohibited unless the NAVICP COSBAL document and the funds to requisition the inventory are available. Major claimants are authorized to request new COSBAL's and Commander, Naval Supply Systems Command (COMNAVSUPSYSCOM) is the approving authority.

d. Navy cognizance material for initial COSBAL support will be requisitioned using deferred requisitioning procedures, which provide for the central processing of funded requisitions by NAVICP similar to the methodology used for planned program requirements.

e. If the activity holding the COSBAL performs NWCF accounting, the associated spare parts will be capitalized into the wholesale inventory once the supported equipment reaches its MSD. Accounting for this material will be done under NWCF procedures.

f. New equipment installations:

(1) The hardware systems command/project manager/project office is responsible for providing complete spare parts support to a weapon system prior to its MSD (including all backordered requisitions at MSD).

(2) Once the MSD has passed, the Navy Supply System assumes spare parts support of the weapon system. NAVICP will perform the buy-in of the material for a COSBAL, utilizing the NWCF. Subsequently, the major claimants will provide the funding to buy-out the material. This relationship also holds for the follow-on replenishment of spare parts for a COSBAL.

g. The range and depth of the COSBAL will be established to meet the supply performance goals of reference (b).

6. Responsibilities (Initial Establishment of a COSBAL for Existing Equipment)

a. Major Claimant

(1) Submit COSBAL funding deficiencies to the CNO Resource Sponsor.

(2) Budget COSBAL funding requirements for installed equipment in accordance with POM/budget policies and procedures.

(3) Prioritize COSBAL requirements within the major claimancy.

b. OPNAV Resource Sponsor. Program COSBAL funding requirements into the POM.

7. Responsibilities (New Equipment Installations)

a. Hardware Systems Command. Provide complete spare parts support to a weapon system prior to its MSD, including backordered requisitions at its MSD.

b. COMNAVSUPSYSCOM. Fund the buy-in of COSBAL outfitting spare parts requirements for equipment installed on or after MSD.

c. Major Claimant. Fund the subsequent buy-out of COSBAL outfitting spare parts requirements from the NWCF for equipment installed on or after MSD.

8. Responsibilities (General)

a. COMNAVSUPSYSCOM

(1) Implement procedures for Navy shore activities and Marine Corps Air Stations to maintain accurate, up-to-date configuration data in the WSF to facilitate building an accurate COSBAL.

(2) Specify COSBAL range and depth standards to meet the supply performance goals of reference (b).

(3) Coordinate and prioritize COSBAL production workload.

(4) Develop and implement procedures for "fund-deferred" requisitioning of Navy cognizance COSBAL material.

(5) Fund the replenishment, maintenance, and demand-based allowance changes of COSBALs for those accounting activities that maintain authorized COSBALs through the NWCF.

b. Major Claimant

(1) For end use accounting activities, budget operating funds to replenish stock, or to requisition demand-based allowance increases, for post-MSD equipment. In addition, budget the outfitting funds for new spare parts requirements resulting from configuration changes, or new provisioning, to existing equipment.

(2) For NWCF accounting activities, budget the outfitting funds for new spare parts requirements resulting from configuration changes, or the new provisioning, to existing equipment.

(3) Prescribe end use accounting procedures to be used by subordinate activities.

c. CNO Resource Sponsor. During the POM process, program funding for the initial spares, follow-on outfitting, and replenishment spare parts requirements for COSBAL supported equipment.

d. CNO (N41). Maintain approval authority for any exceptions to the policies issued in this instruction.

9. Action

a. Major claimants will develop procedures implementing these policies.

b. COMNAVSUPSYSCOM, in addition to action as a major claimant, will develop and issued procedures for the fund-deferred requisitioning of Navy cognizance COSBAL material.

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